

Complete if Known

Application Number	10/708,824
Filing Date	March 26, 2004
First Named Inventor	David Bonalle
Art Unit	PRD 2635
Examiner Name	PRD N. NGUYE
Attorney Docket Number	60655.8500

(use as many sheets as necessary)

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
W		CH	CH689070		08/31/1998	Peter Bresch		
		EP	0 358 525	A2	03/14/1990	W&T Avery Limited		
		EP	0 424 726	A1	10/10/1990	Angewandte Digital Elec.		
		PCT	WO 99/03057	A1	01/21/1999	Robert E. Dorf		
		EP	EP 0 933 717	A2	08/04/1999	Citicorp Development Center		
		EP	EP 0 956 818	A1	11/17/1999	Citicorp Development Center		
		EP	EP 0 959 440	A2	11/24/1999	Citicorp Development Center		
		JP	2000-1109	A	01/14/2000	Citicorp Development Center		
		JP	2000015288	A	01/18/2000	Hitachi Plant Eng & Constr		
V		JP	2000-40181	A	02/08/2000	Unknown		

Date	
Considered	

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
		Application Number	10/708,824		
		Filing Date	March 26, 2004		
		First Named Inventor	David Bonalle		
		Art Unit	TBD		
		Examiner Name	TBD		
		Attorney Docket Number	60655.8500		
Sheet	5	of	11		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) ²			
		US-				
		US-				
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FOREIGN PATENT DOCUMENTS							
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		Office ³	Number ⁴	Kind ⁵ (if known)			
M		EP	EP 1 251 450	A1	10/23/2002	NCR International Inc.	
		PCT	WO 02/086665	A2	10/31/2002	United States Postal Service	
		PCT	WO 02/091281	A2	11/14/2002	Outside Networks Inc.	
		PCT	WO 02/097575	A2	12/05/2002	American Express TRS Co.	
		PCT	WO 02/101670	A2	12/19/2002	3M Innovative Properties	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/708,824
		Filing Date	March 26, 2004
		First Named Inventor	David Bonalle
		Art Unit	TBD
		Examiner Name	TBD
Sheet 6 of 11	Attorney Docket Number	60655.8500	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MM		"What's New: Timex Watch Features Speedpass System", http://www.speedpass.com/news/article.jsp?id=51 (1 page)	
		"Physical Reality: A Second Look", Ken Sharp, Senior Technical Editor, http://www.idsystems.com/reader/1999_03/phys0399_pt2/phys0399_pt2.htm (6 pages)	
		"Magic Wands' to Speed Mobile Sales", BobBrewin, Jan. 15, 2001, http://www.computerworld.com/mobiletopics/mobile/story/1,10801,563300.html (4 pages)	
		"Mobile Speedpass Goes GLobal as Mobil Singapore Rolls Out Asia's First RFID-Based Pay-At-The-Pump System", Press Release, April 5, 1999, http://www.ti.com/tiris/docs/news_releases/rel12.htm (3 pages)	
		"Speedpass Unleashed", June 4, 2002, http://www.cardweb.com/cardtrak/news/cf2_20a_97.html (2 pages)	
		Prophecy Central Update #9, October 10, 1997, http://www.bible-prophecy.com/pcu9.htm (5 pages)	
		International newsletter of the TI RFID Group, Issue 20, 2000 (12 pages)	
		"CES: Microsoft's SPOT Technology has Humble Origins", by James Niccolai, January 10, 2003, http://archive.inforworld.com/articles/hn/xml/03/01/10/030110hnsport.xml?s=IDGNS (3 pages)	
		"Microsoft: See SPOT Run On Your Wrist", by Richard Shim, June 5, 2003, http://news.com.com/2100-1041_3-1013442.html?tag=fd_top (1 page)	
		"Networking: Microsoft SPOT", by Jeremy A. Kaplan, July 1, 2003, http://www.pcmag.com/print_article/0,3048,a=43561,00.asp (2 pages)	
		"Microsoft Launches Smart Personal object Technology Initiative", Press Release from COMDEX Fall 2002, November 17, 2002, http://www.Microsoft.com/presspass/features/2002/nov02/11-17SPOT.asp (4 pages)	

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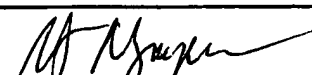
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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		Filing Date	March 26, 2004
		First Named Inventor	David Bonalle
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		Attorney Docket Number	60655.8500
Sheet	7	of	11

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AK		"Bank Extends RFID Payment Pilot: Bank of America will continue to tests its QuickWave RFID payment card for another three months", RFID Journal, 01/23/2003	
		"MasterCard to Test RFID Card: Pilot will test whether consumers, merchants and credit card issuers value "contactless payments", RFID Journal, 12/20/2002	
		"Vendors Target Amusement Parks: Protecting children and enabling cashless payments make RFID an appealing option for the entertainment industry", RFID Journal, 11/27/2002	
		"Inside's Next-Gen Smart Card: The French company plans to introduce an RFID card that uses a 16-bit microprocessor and new encryption technology", RFID Journal, 10/29/2002	
		"Sony, Philips Creating RFID Link: Consumer electronics giants are jointly developing a new RFID standard for payments and for communication between devices", RFID Journal, 09/17/2002	
		"Japan Gets Digital Ticket System: A national ticket seller and phone company are teaming up to create an electronic ticket", RFID Journal, 08/31/2002	
		"Security for Wireless Java: NTRU, a startup that offers security software, has released a Java version of its NTRU encryption algorithm", RFID Journal, 06/27/2002	
		"Making RFID Payments Ubiquitous: Philips and Visa want people to be able to pay for goods and services anywhere by using RFID chips embedded in the phones and other devices", RFID Journal, 06/02/2003	
		"RFID Smart Cards Gain Ground: The convenience of contactless transactions is driving widespread adoption of contactless smart cards", RFID Journal, 04/09/2003	
		"TI Embraces Prox Card Standard: Texas Instruments ISO 14443 payment platform promises faster data transfer rates and more security", RFID Journal, 03/06/2003	
✓		"Multiple Frequency Transponders: "Volume production of dual-band RFID chips begins", Frontline Solutions, 07/16/2003	

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Sheet	8	of	11
		Attorney Docket Number	60655.8500

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MM		Functional Specification, Standard Card IC MF1 IC S50, Philips Semiconductors, Product Specification Rev. 5.1 May 2001	
		"Biometrics: Speaker Verification", by Kulkarni, et al., http://biometrics.cse.msu.edu/speaker.html , 03/08/2004, 5 pages	
		"Judge Dismisses FTC Suit Against Rambus", Evers, IDG New Service, http://www.infoworld.com/article/04/02/18/HNjudgedismisses_1.html , 02/18/2004, 3 pages	
		"Credit on Your Key Ring, Buy Gas at Mobil, Exxon and Soon Burgers at McDonald's", by Krakow, MSNBC, http://www.msnbc.msn.com/id/3072638 , 02/17/2004, 4 pages	
		"The Evolution of Mobile Payment", by McPherson, Financial Insights, 02/02/04, http://www.banktech.com/story/mews/showArticle/jhtml?articleID=17601432 , 2 pages	
		"RFID Take Priority With Wal-Mart", by DocMemory, http://www.simmtester.com/page/news/shownews.asp?num=6550 , 02/09/2004, 2 pages	
		"Microsoft, IBM and Phillips Test RFID Technology", by Rohde, IDG New Service, http://www.computerweekly.com/Article127889.htm , 02/09/2004, 3 pages	
		"Pay By Touch Press Releases", http://www.paybytouch.com/press.html , 02/10/2004, 3 pages	
		"PowerPay RFID Payment and Marketing Solution Speeds Purchases at Seahawks Stadium with Technology from Texas Instruments", http://www.powerpayit.com/news/Seahawks_pr.html , 02/09/2004, 2 pages	
		"Putting Their Finger on It", by Wilson, http://sanfrancisco.bizjournals.com/sanfrancisco/stories/2003/10/20/story6.html?it=printable , 02/09/2004, 2 pages	
		"TI Embraces Prox Card Standard", by Roberti, 03/06/2003, http://www.ti.com/tiris/docs/in-the-news/2003/3-6-03.shtml , 2 pages	

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MM	1	"Paying It By Ear", The Guardian, 01/18/2003, http://money.guardian.co.uk/creditanddebt/creditcards/story/0,1456,876908,00.html , 3 pages	
		Pay By Touch - Company, http://www.paybytouch.com/company.html	
		"Identix Inc. - Empowering Identification™ - Understanding Biometrics", http://www.identix.com/newsroom/news_biometrics_face.html , 1 page	
	6	"International Biometric Group - Signature Biometrics: How It Works", http://www.ibgweb.com/reports/public/reports/signature-scan_tech.html , 02/18/2004, 1 page	
		"International Biometric Group - Voice Recognition Technology: How It Works", http://www.ibgweb.com/reports/public/reports/voice-scan_tech.html , 02/18/2004, 1 page	
		"The Henry Classification System", International Biometric Group, 7 pages	
	6	"Individual Biometrics - Hand Geometry", http://ctl.ncsc.dni.us/biomet%20web/BMHand.html , 02/18/04, 2 pages	
	1	"Individual Biometrics - Retinal Scan", http://ctl.ncsc.dni.us/biomet%20web/BMRetinal.html , 2/18/04, 2 pages	
	1	"Individual Biometrics - Iris Scan", http://ctl.ncsc.dni.us/biomet%20web/BMIris.html , 2/18/04, 2 pages	
	1	"Individual Biometrics - Vascular Patterns", http://ctl.ncsc.dni.us/biomet%20web/BMVascular.html , 02/18/04, 1 page	
✓	1	"Individual Biometrics - Fingerprint", http://ctl.ncsc.dni.us/biomet%20web/BMFingerprint.html , 2/18/04, 3 pages	

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MA	✓	"Fingerprint Technology - Identix Inc. - Empowering Identification™ - Understanding Biometrics", http://www.identix.com/newsroom/news_biometrics_finger.html , 2/18/04, 1 page	
	✓	"Individual Biometrics - Facial Recognition", http://ctl.ncsc.dni.us/biomet%20web/BMfacial.html , 2/18/04, 2 pages	
	✓	"Fingerprint Analysis - The Basics", http://www.crimtrac.gov.au/fingerprintanalysis.htm , 2/18/04, 3 pages	
	✓	"Visual Speech and Speaker Recognition", by Luettin, 06/30/2000, http://herens.idiap.ch/~luettin/luettin-thesis.bib.abs.html , 1 page	
	✓	"Automatic Ear Recognition by Force Field Transformations", by Hurley, et al., The Institution of Electrical Engineers, 2000, pp. 7/1-7/5	
	✓	"Everything You Need to Know About Biometrics", by Bowman, Identix Croproation, January 2000, 8 pages	
	✓	"How Fingerprint Scanners Work", by Harris, http://computer.howstuffworks.com/fingerprint-scanner.htm/printable , 02/18/04, 6 pages	
	✓	"How Facial Recognition Systems Work", by Bonsor, http://computer.howstuffworks.com/facial-recognition.htm/printable , 2/18/04, 6 pages	
	✓	"Biometrics: Hand Geometry", by Ross, et al., http://biometrics.cse.msu.edu/hand_geometry.html , 2/26/04, 2 pages	
	✓	"Biometric Person Authentication: Odor", by Korotkaya, Department of Information Technology, Laboratory of Applied Mathematics, Lappeenranta University of Technology, 18 pages	
V	✓	"ISO Magnetic Stripe Card Standards", http://www.cyberd.co.uk/support/technotes/ioscards.htm , 2/9/04, 4 pages	

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD FOR BIOMETRIC SECURITY USING A TRANSPONDER-READER
Application Number :	10/708,824
Confirmation Number:	
First Named Applicant:	David Bonalle
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Search string:	(4303904 or 4475308 or 4639765 or 4739328 or 4961142 or 5023782 or 5053774 or 5101200 or 5197140 or 5212777 or 5221838 or 5222282 or 5226989 or 5239654 or 5247304 or 5274392 or 5285100 or 5305002 or 5329617 or 5331138 or 5339447 or 5349357 or 5351052 or 5371896 or 5373303 or 5408243 or 5410649 or 5428363 or 5453747 or 5471592 or 5485510 or 5488376 or 5489411 or 5489908 or 5490079 or 5491483 or 5491484 or 5491715 or 5493312 or 5497121 or 5500651 or 5513525 or 5519381 or 5522083 or 5525992 or 5525994 or 5530232 or 5541604 or 5543798 or 5544246 or 5548291 or 5550536 or 5550548 or 5552789 or 5557279 or 5557516 or 5561430 or 5563582 or 5569187 or 5577109 or 5581630 or 5592150 or 5592405 or 5594448 or 5597534 or 5600175 or 5602538 or 5602919 or 5606520 or 5607522 or 5608406 or 5613146 or 5619207 or 5621396 or 5621411 or 5621412 or 5625366 or 5625370 or 5629981 or 5638080 or 5640002 or 5646607 or 5657388 or 5660319 or 5673106 or 5675342 or 5686920 or 5691731 or 5692132 or 5696913 or 5698837 or 5699528 or 5701127 or 5704046 or 5705798 or 5721781 or 5729053 or 5729236 or 5731957 or 5732579 or 5748137 or 5748737 or 5758195 or 5761306 or 5761493 or 5768609 or 5774882 or 5777903 or 5778067 or 5785680 or 5792337 or 5793324 or 5794095 or 5797060 or 5797133 or 5798709 or 5809142 or 5809288 or 5825007 or 5825302 or 5826077 or 5828044 or 5841364 or 5842088 or 5844218 or 5845267 or 5851149 or 5854891 or 5858006 or 5859779 or 5864323 or 5867100 or 5870031 or 5870915 or 5878215 or 5878403 or 5880675 or 5881272 or 5890137 or 5898783 or 5903830 or 5905798 or 5912678 or 5920628 or 5929801 or 5931917 or 5933624 or 5948116 or 5953512 or 5955717 or 5955969 or 5956024 or 5963924 or 5970148 or 5978840 or RE36365 or 5983208 or 5987140 or 5987155 or 5987498 or 5989950 or 5991750 or 5996076 or 6002438 or 6002767 or 6003014 or 6005942 or 6006216 or 6012049 or 6014645 or 6018717 or 6029149 or 6038584 or 6052675 or 6064320 or 6070003 or 6072870 or 6073840 or 6088686 or 6092057 or RE36788 or 6101174 or 6102162 or 6102672 or 6105008 or 6105013 or 6105865 or 6109525 or 6112152 or 6115360 or 6116423 or 6116505 or 6118189 or 6123223 or 6129274 or 6133834 or 6141651 or 6167236 or 6177860 or 6179205 or 6179206 or 6188994 or 6192255 or 6198875 or 6202927 or 6205151 or 6215437 or 6216219 or 6219439 or 6223984 or 6230270 or 6232917 or D442627 or D442629 or 6239675 or 6240187 or 6257486 or 6259769 or 6260026 or 6260088 or 6264106 or 6266754 or 6273335 or 6282522 or D447515 or 6286763 or 6289324 or 6315193 or 6317721 or 6318636 or 6323566 or 6325285 or 6326934 or 6342844 or 6364208 or 6367011 or 6377034 or 6388533 or 6390375 or 6400272 or 6402028 or 6415978 or 6422464 or 6473500 or 6480100 or 6481621 or 6481632 or 6484937 or 6529880 or 6546373 or 6549912 or 6578768 or 5594233 or 5604342 or 5608778 or 5625695 or 5887266 or 5943624 or 6024286 or 6047888 or 6078888 or 6121544 or 6226382 or 6424029 or 6422532 or 6480101 or 6490443 or 6491229 or 6510998 or 6513015 or 6535726 or 6547133 or 6577229 or 6581839 or 6588660 or 6589119 or 6609655 or 6626356 or 5797085 or 5834756 or 5991608 or 6198728 or 6206293 or 6233683 or 6411611 or 6510983 or 6560581

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Signature



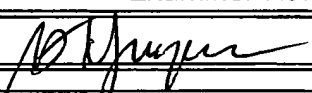

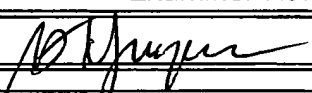

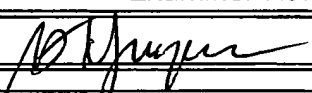
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Title of Invention	METHOD FOR BIOMETRIC SECURITY USING A TRANSPONDER-READER																				
<p>Application Number: 10/708824 </p> <p>Confirmation Number: 2823</p> <p>First Named Applicant: David Bonalle</p> <p>Attorney Docket Number: 60655.8500</p> <p>Art Unit: 2635</p> <p>Search string: (6237848).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6237848</td><td>2001-05-29</td><td>Everett</td><td colspan="3"></td></tr></tbody></table> <p>Signature</p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td>11/18/05</td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	6237848	2001-05-29	Everett				Examiner Name	Date		11/18/05
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